



Section 1/2  
#4

BOX: MISSING PARTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
SUBMISSION OF MISSING PARTS OF APPLICATION

APPLICANT: KOJI MORIGUCHI ET AL

SERIAL NO.: 09/ 292,834

GROUP ART UNIT: 1745

DATE FILED: APRIL 16, 1999

INVENTION: GRAPHITE POWDERS SUITED FOR NEGATIVE ELECTRODE  
MATERIAL OF LITHIUM ION SECONDARY BATTERY

Assistant Commissioner of Patents and Trademarks  
Washington DC 20231

S I R:

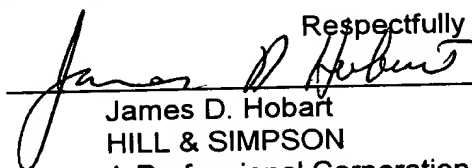
In response to the Notice to File Missing parts dated May 20, 1999 the undersigned attorney submits herewith the Oath, as executed by the inventor(s) on July 23/24, 1999.

Applicant petitions the Commissioner of Patents and Trademarks to extend this time for response to the Notice dated May 20, 1999 for one month so that the period for response is extended to August 20, 1999. A check in the amount of \$110.00 is attached to cover the costs of the extension.

Our check in the amount of \$130.00 to cover the fee for the late filing of the Oath is enclosed.

Any deficiency should be charged to Deposit Account No. 08-2290. A duplicate copy of this sheet is enclosed for that purpose.

Respectfully submitted,

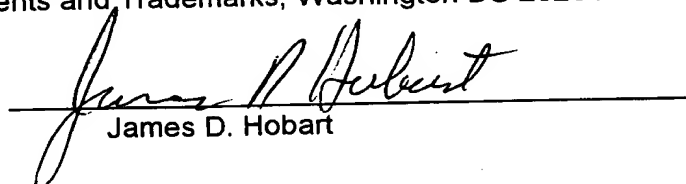


(Reg. No. 24,149)

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Attorneys for Applicant

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington DC 20231 on August 4, 1999

  
James D. Hobart

08/11/1999 VWH11 00000069 09292834

02 FC:115

110.00 DP



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/292,834 04/16/99 MORTIGUCHI K P99.0653

0212/0520

HILL & SIMPSON  
85TH FLOOR SEARS TOWER  
CHICAGO IL 60606

NOT ASSIGNED

DATE MAILED: 745

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

05/20/99

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

*If all required items on this form are filed within the period set above, the total amount owed by applicant as a*  
☐ small entity (statement filed) ☐ non-small entity is \$ 130.00

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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